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## Policy Information

**Last Revised Date:**

August, 2012

**Policy Number:**

UHAP 2.06.08

**Responsible Unit:**

Division of Human Resources

**Email:**

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## Policy

**Also see University of Arizona Policy on Individual Conflict of Interest in Research:**

<http://policy.arizona.edu/research/individual-conflict-interest-research> [2]

**Also see Policy for Management of Personal Conflicts of Interest for The University of Arizona:**

<http://policy.arizona.edu/ethics-and-conduct/management-personal-conflicts-interest-university-arizona> [3]

Under Arizona Revised Statutes 38-501 *et seq.*, employees of the University must not vote or participate in any manner in any decision of the University, or the ABOR, or in any contract, sale, purchase or service provided to the University in which they or a relative have a pecuniary or proprietary interest. An employee must make such interest known in the official records of the University by filing a declaration of the conflict of interest that is available at the Purchasing Office, the Department of Human Resources or the Provost's Office. By statute, engaging in conduct that constitutes a conflict of interest may result in disciplinary action, including forfeiture of employment.

In addition, an employee may not participate in a commercial radio or television program in which there is a relationship between a product being advertised and the special field in which the employee is engaged at the University.

University employees who are working on government-supported research, advising on grants, or involved with government research activities in other ways, must avoid using information derived from their government-related work to help specific industrial concerns or enterprises in which they have an interest as consultants or otherwise. The applicable policy is the AAUP Policy Statement titled "On Preventing Conflicts of Interest in Government-Sponsored Research at Universities." To promote this policy, University employees should keep their department heads and deans informed

of their government-related research activities, and seek the advice of the Vice President for Research as needed.

Further, it is a conflict of commitment when outside activities interfere with an employee's primary obligations to teaching, research or service. The University requires employees to file a disclosure of outside activities with their department head, dean or director, who is to ensure that there is no conflict of commitment. This administrator will conduct an annual review of all disclosures received, and will provide the Vice President for Research and the Provost with a written report on the potential for conflict.

University employees who believe that they or their unit is adversely affected by a colleague's outside activities may bring this matter to the attention of the University Committee on Ethics and Commitment by contacting the Faculty Center. The committee will consider such reports, contact the appropriate administrator(s) and investigate the matter. Any findings and recommendations will be provided to the employees and administrators involved, and to the Vice President for Research. The committee will report annually to the Faculty Senate concerning the number and nature of the cases investigated.

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**Source URL:** <https://policy.arizona.edu/employment-human-resources/conflict-interest-uhap>

#### **Links**

[1] <mailto:hradmin@email.arizona.edu>

[2] <http://policy.arizona.edu/research/individual-conflict-interest-research>

[3] <http://policy.arizona.edu/ethics-and-conduct/management-personal-conflicts-interest-university-arizona>